



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> : <b>A61B 17/02</b>	<b>A1</b>	(11) International Publication Number: <b>WO 97/40751</b> (43) International Publication Date: 6 November 1997 (06.11.97)
<p>(21) International Application Number: PCT/US97/01984</p> <p>(22) International Filing Date: 5 February 1997 (05.02.97)</p> <p>(30) Priority Data: 08/637,974 30 April 1996 (30.04.96) US</p> <p>(71) Applicant: MEDTRONIC, INC. [US/US]; 7000 Central Avenue Northeast, Minneapolis, MN 55432 (US).</p> <p>(72) Inventors: CORACE, Russell, A.; 3081 Wildridge Northeast, Grand Rapids, MI 49505 (US). KINGHORN, Curtis, D.; 531 Linden Lane, Lino Lakes, MN 55014 (US).</p> <p>(74) Agents: FORREST, Peter et al.; Medtronic, Inc., MS301, 7000 Central Avenue Northeast, Minneapolis, MN 55432 (US).</p>		<p>(81) Designated States: AU, CA, JP, European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).</p> <p><b>Published</b> <i>With international search report.</i></p>
<p>(54) Title: DEVICE AND METHOD FOR REPOSITIONING THE HEART DURING SURGERY</p> <div data-bbox="406 1134 1250 1449"> </div> <p>(57) Abstract</p> <p>A device for repositioning an organ, such as the heart, during minimally invasive surgical procedures includes a pod having a plurality of inflatable/deflatable pillows on at least an upper surface thereof, each pillow forming a separately sealed chamber. Each chamber may include a separate lumen or supply line in direct communication therewith. Each lumen is in turn connected to a fluid source through either a manually or electrically actuatable valve. The valve associated with each supply line permits fluid to be selectively introduced into or withdrawn from any number of chambers by manipulating the valves to thereby selectively inflate or deflate the selected chambers. Preferably, a pressure sensor is used to monitor the pressure in one or more chambers and means are incorporated to selectively inflate or deflate the chambers in response to the detected pressure.</p>		

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece	ML	Mali	TR	Turkey
BG	Bulgaria	HU	Hungary	MN	Mongolia	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MR	Mauritania	UA	Ukraine
BR	Brazil	IL	Israel	MW	Malawi	UG	Uganda
BY	Belarus	IS	Iceland	MX	Mexico	US	United States of America
CA	Canada	IT	Italy	NE	Niger	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NL	Netherlands	VN	Viet Nam
CG	Congo	KE	Kenya	NO	Norway	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NZ	New Zealand	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	PL	Poland		
CM	Cameroon	KR	Republic of Korea	PT	Portugal		
CN	China	KZ	Kazakstan	RO	Romania		
CU	Cuba	LC	Saint Lucia	RU	Russian Federation		
CZ	Czech Republic	LI	Liechtenstein	SD	Sudan		
DE	Germany	LK	Sri Lanka	SE	Sweden		
DK	Denmark	LR	Liberia	SG	Singapore		
EE	Estonia						

## DEVICE AND METHOD FOR REPOSITIONING THE HEART DURING SURGERY

### Field of the Invention

5                   This invention relates to a device and method for repositioning an organ such as the heart during a surgical procedure and more particularly to a selectively inflated pad positioned immediately adjacent to the heart during minimally invasive surgery.

### Description of the Prior Art

10                   In open-heart surgery, such as during bypass surgery, a patient's chest cavity is split longitudinally along the sternum and separated to open the chest cavity and expose the heart. With the chest cavity open, the surgeon can easily grasp the heart by hand and manipulate it into the desired position. Unfortunately, opening the chest cavity in the conventional manner is extremely traumatic to the  
15                   patient, requiring lengthy recuperation.

                  More recently, minimally invasive surgery (MIS), most usually associated with laparoscopic surgery, has been applied to coronary bypass surgery. One problem associated with this technique is that the surgeon can no longer manipulate the heart by hand resulting in greater difficulty in completing the  
20                   surgical procedure.

### Summary of the Invention

                  These and other problems of the prior art are overcome by the provision of an organ elevator and manipulator ideally suited for minimally invasive heart surgery. According to one aspect of the invention, the heart elevator and  
25                   manipulator includes a pod having a plurality of inflatable/deflatable pillows on at least an upper surface thereof, each pillow forming a separately sealed chamber. Each chamber may include a separate lumen or supply line in direct communication therewith. Each lumen is in turn connected to a fluid source through either a manually or electrically actuatable valve. The valve associated with each supply  
30                   line permits fluid to be selectively introduced into or withdrawn from any number of

chambers by manipulating the valves to thereby selectively inflate or deflate the selected chambers.

According to a further aspect of the invention, a method of manipulating and positioning the heart during minimally invasive heart surgery includes forming an aperture in the patient in the vicinity of a patient's heart; providing a pod having a plurality of pillows, each pillow forming a sealed chamber in fluid communication with a fluid source; providing a valve between each pillow and the fluid source to selectively inflate or deflate a selected number of pillows; inserting the pod in a rolled state through the trocar; positioning the pod in its unrolled state between the body cavity and the heart; and selectively injecting fluid into at least one of the pillows to thereby inflate the at least one pillow between the heart and body cavity to reposition the heart. The method may also include selectively withdrawing fluid from any of the pillows to further position the heart.

#### **Brief Description of the Drawings**

The invention will now be described with reference to the drawings wherein:

FIG. 1 is a perspective view of a patient during minimally invasive heart surgery;

FIG. 2 is a top plan view of the organ manipulation device according to the present invention;

FIG. 3 is a partial, perspective view of the organ manipulation device with the pod in a collapsed, rolled-up state;

FIG. 4 is a side view of the organ manipulation device in its operative position;

FIG. 5 is a partial, sectional view of the pod of the manipulation device taken along lines 5-5 of FIG. 2;

FIG. 6 is a partial, sectional view of the pod similar to FIG. 5, showing a second embodiment of the pod;

FIG. 7 is a schematic view of a pressure sensor incorporated into the organ manipulation device according to the invention; and

FIG. 8 is a flow chart of one embodiment of a program for controlling the fluid pressure supply to the organ manipulation device.

**Description of the Preferred Embodiments**

In minimally invasive surgical procedures, such as the minimally  
5       invasive heart surgery shown in FIG. 1, multiple small incisions are made in the  
chest wall for the receipt of surgical instruments. For example, two small incisions  
are made in the chest wall 10 of a patient 12 at different interstitial rib positions,  
while a third incision is made just below the sternum. A first trocar 14 is inserted  
10       into the first incision at one of the interstices while a second trocar 16 is inserted  
into the second incision at another of the interstices. Preferably, the first and  
second incisions are made on opposite sides of the sternum. A third trocar is  
inserted into the third incision just below the sternum. As best shown in FIG. 4,  
15       each trocar is conventional and has a boss 22 depending from a flange 20. The  
flange 20 is larger in diameter than boss 22, so that when the trocar is installed by  
pushing the boss through the incision at the interstice between the ribs, the flange  
will rest on the patient's outer epithelial layer of the external integument. The boss  
22 is sufficiently long to fit between the ribs, so that when the trocar is installed, the  
20       ribs are spread apart. A central aperture (not shown) extends through each trocar  
for the reception of surgical instruments, tubes, etc. Once the trocars are installed,  
conventional devices such as an endoscope, a first surgical instrument, and a  
secondary instrument, as represented generically by the first cannula 24, second  
cannula 26, and third cannula 28, are inserted as needed into the central apertures of  
the several trocars.

Referring now to FIG. 2, a heart elevator and manipulator 30 for use  
25       in minimally invasive surgery comprises a pod 32 having a plurality of separately  
inflatable/deflatable pillows 34 spaced over at least the upper surface 36 of pod 32.  
The pod is preferably formed of two separate polymeric layers and sealed at the  
outer peripheral edge 38 and also sealed along partitions 40 to form the several  
individual pillows 34. The layers may be sealed together through any well-known  
30       technique such as heat-sealing or ultrasonic welding. The pod is sufficiently flexible

to permit it to be rolled up as shown in FIG. 3. Each pillow 34 forms a separately sealed chamber which is selectively inflatable/deflatable independent of any other pillow. The chamber of each pillow is in fluid communication with a fluid supply line or lumen 42 extending therefrom. Preferably, the several lumens 42 extend  
5 from the pod 32 closely adjacent one another and are received in the distal end 41 of a conventional cannula 44. The lumens extend through the proximal end 45 of the cannula 44 and terminate at a stop-cock valve 46. Valve 46 is a well-known valve having a manual actuator 48 to open or close fluid communication between the lumen to which it is attached and a conduit 50. The conduit 50 has an internal bore  
10 sized to receive a common syringe (not shown) or other conventional fluid supply source. The valve associated with each supply line permits fluid to be selectively introduced into or withdrawn from any number of selected chambers by manipulating the valves and syringes to thereby selectively inflate or deflate the selected pillow chambers.

15 In use, after the trocars have been installed, pod 32 is initially in a rolled, collapsed state as shown in FIG. 3. In this position, the rolled pod diameter is smaller than the trocar central opening so that the pod is then inserted through trocar 18 along with a portion of cannula 44 until the pod is fully received in the body cavity. The elasticity of the pod will naturally cause the pod to unroll as it  
20 clears trocar 18 to an open state as shown in FIGS. 2 and 4. Once the pod is unrolled, it is positioned adjacent to the heart, preferably beneath the heart. When it is necessary to manipulate or reposition the heart, saline solution, air, or some other acceptable fluid or gas is injected from the fluid supply through one or a plurality of selected valves 46 to selectively fill one or more of the corresponding  
25 pillows 34. The pillows can be partially or completely filled to create the desired manipulation of the heart. Valves 36 are initially closed and must be opened by manipulating actuator 48 before injecting the saline solution.

30 As the saline solution is injected into one or more selected pillows, the pillows 34 begin to expand between the body cavity and heart 52 to position the heart as desired. By selecting the appropriate pillows, the heart 52 can be

simultaneously rotated about two orthogonal axes as shown by arrows 54, 56 in FIG. 4. Once a sufficient amount of saline solution is injected into the selected pillow(s), the valves are again closed to prevent saline leakage. Thus, hydraulic pressure from the saline solution in the selected pillows and lumens will keep the heart in its new position until the valves are opened and the syringes are manipulated. Once the surgery is completed or near completion, the pod 32 can be withdrawn by grasping lumens 42 and pulling pod 32 out through the trocar. Pod 32 is sufficiently flexible to permit its withdrawal through the trocar. The rounded outer perimeter 38 of the pod eases the withdrawal through the trocar.

An alternate method of inserting and positioning the pod 32 in a position to manipulate the heart includes rolling the pod sufficiently tight to telescopically fit within cannula 44. The cannula 44 and pod 32 are then inserted as a unit through the trocar until the unit is positioned between the body cavity and the heart 52. The lumens 42 and cannula 44 are then grasped while sliding the cannula with respect to the lumens back through the trocar until pod 32 is completely exposed. Pod 32 will naturally unroll to the open state. If necessary, saline solution can be injected into selected pillows to help the pod unroll.

As seen in FIG. 5, the pillows of the first embodiment are selectively inflated to varying levels to provide extensive control to the surgeon in repositioning or manipulating the heart. In this embodiment, the pillows 34 are adapted to expand upwardly from the upper surface 36. FIG. 6 shows a second embodiment of the pod 56 in which the pillows 58 are adapted to expand from both the top and bottom surfaces 60, 62, respectively, of the pod 56. In addition to varying the inflation level of the pillows, the size of the pillows can be varied so that additional control in manipulating the balloon is achieved.

The pod 32 is shown in FIG. 2 having a generally circular configuration. However, it is within the scope of the invention for pod 32 to have other configurations including, but not limited to, square, rectangular, oval, crescent shaped and "U" shaped. In the embodiments having a crescent or "U" shaped configuration, the pod 32 may fit around anatomical features and thereby allow the

most efficient positioning of the pod 32. In some cases, the use of the crescent of "U" shaped configuration will allow a pod 32 to be used where other shapes could not be accommodated.

Further, it is within the scope of the invention for pillows 34 to have  
5 varying heights to conform to certain anatomical areas of the heart and to allow certain areas of the heart to be moved more than others by contact with specific pillows 34. For example, in addition to the embodiments shown, pillows 34 could be selectively inflatable in decreasing heights across the face of pod 32.

Alternately, pillows 34 could be selectively inflatable with a maximum height  
10 around the peripheral edge 38 of pod 32 and a minimum height near the center of pod 32. Conversely, pillows 34 could be selectively inflatable with a minimum height around the peripheral edge 38 of pod 32 and a maximum height near the center of pod 32.

In an additional embodiment of the invention seen in FIG. 7, a  
15 pressure sensor 64 is attached to at least one lumen 42. In one embodiment, pressure sensor 64 is attached to lumen 42 at conduit 50 so as not to interfere with the presentation of pressurized fluid to lumen 42. Pressure sensor 64 may also be placed within a pillow 34 or near a pillow 34 along lumen 42 on pod 32.

Pressure sensor 64 is electronically attached to a microprocessor 66  
20 through line 68. Microprocessor 66 is attached to a pressurized fluid source 70 through line 72. Pressurized fluid source 70, in one embodiment, may be a control valve placed between a source of pressurized fluid and lumen 42 so that the control valve modulates the fluid pressure supplied to lumen 42 in response to commands from microprocessor 66. In an alternate embodiment, pressurized fluid source 70  
25 may be a pump that is modulated by command signals from microprocessor 66 to provide fluid at different pressures to lumen 42.

In operation, the combination of pressure sensor 64, microprocessor 66, and pressurized fluid source 70 combine to maintain a near constant pressure in lumen 42. Without such a system, as the heart beats, it pushes against a pillow 34  
30 and increases the fluid pressure within pillow 34. As the pressure in pillow 34



increases, pillow 34 becomes more rigid. As a result, the beating heart pushes against and away from pillow 34. Therefore, the beating motion of the heart is accentuated.

In use, the system of the combination of pressure sensor 64,  
5 microprocessor 66, and pressurized fluid source 70 acts to increase or decrease the pressure in pillow 34 resulting from the beating motion of the heart to maintain a near constant pressure within pillow 34. In this way, as the beating heart pushes against a pillow 34, thereby causing an increase in the pressure in pillow 34, the pressure is sensed by pressure sensor 64 and is communicated to microprocessor 66.  
10 Microprocessor 66 detects an increase in pressure in pillow 34 and directs pressurized fluid source 70 to decrease the pressure in pillow 34. This allows pillow 34 to "give" against the push of the beating heart so that the heart does not press against and move away from pod 32.

Conversely, when microprocessor 66 detects that the pressure  
15 detected by pressure sensor 64 is decreasing because the beating heart is contracting, microprocessor 66 directs pressurized fluid source 70 to increase the pressure in pillows 34, thereby more fully inflating pillows 34. This keeps the bulk of the heart from moving closer to pod 32. In this way, the beating heart is always in contact with pod 32 and is kept in essentially the same position despite the fact  
20 that the heart is moving while beating.

FIG. 8 shows a flow chart of a possible program to be run by  
microprocessor 66 to implement the invention described above. In FIG. 8, the pressure detected by pressure sensor 64 is presented to microprocessor 66. The program begins at start block 74. From start block 74, the program moves to  
25 decision block 76.

Decision block 76 asks whether the pressure currently sensed by pressure sensor 64 is the same as a set pressure. The set pressure may be an average pressure of the actual pressures sensed by pressure sensor 64 over time or may be a predetermined pressure. If, in decision block 76, the pressure currently

sensed is the same as the set pressure, the program loops to the start block 74 to begin the program again.

If, in decision block 76, the pressure currently sensed is not the same as a set pressure, the program passes to decision block 78. Decision block 78 asks  
5 whether the pressure currently sensed by pressure sensor 64 is higher than the set pressure. If the answer is yes, the program passes to process block 80 which directs the pressurized fluid source 70 to decrease the fluid pressure sent to pillow 34. The program then passes to start block 74 to begin the program again.

If, in decision block 78, the answer is no, that is, the pressure  
10 currently sensed by pressure sensor 64 is not higher than the set pressure, the program passes to process block 82. Process block 82 directs the pressurized fluid source 70 to increase the pressure sent to pillow 34. The program then passes to start block 74 to begin the program again.

The preferred application of the manipulation device according to the  
15 invention is for use in minimally invasive heart surgical procedures. However, those skilled in the art will understand that the manipulation device according to the invention can be used in any surgical procedure, minimally invasive or conventional, in which selective control or manipulation of an organ or tissue is desired. For example the manipulation device could be adapted for use in  
20 laparoscopic surgical procedures.

While the invention has been described with reference to its preferred embodiment, many modifications may be made to adapt a particular situation or material to the teaching of the invention without departing from its essential teachings. For example, a single pillow or any number of pillows may be formed  
25 on the pod. In addition, pillows may be formed on one or both sides of the pod. It will therefore be understood by those skilled in the art that various changes may be made and equivalents may be substituted for elements thereof without departing from the true spirit and scope of the invention as defined by the appended claims.

The embodiments for which an exclusive property or privilege is claimed are defined as follows:

**Claims**

1. A manipulation device comprising a pod having a first surface  
5 and a plurality of selectively inflatable chambers provided on the first surface, a plurality of fluid conduits each having a proximal end and a distal end, the proximal end of each conduit being fluidly connected to a fluid supply source and the distal end of each conduit being fluidly connected to at least one of the plurality of chambers whereby the plurality of chambers are selectively inflatable by means of  
10 fluid introduced therein from the fluid supply source by way of the plurality of fluid conduits.
2. A manipulation device according to claim 1 wherein each of  
15 the plurality of fluid conduits is fluidly connected to a respective one of said plurality of chambers so that each chamber can be independently inflated with fluid supplied by way of the respective fluid conduit.
3. A manipulation device according to claim 2 wherein a valve is  
20 provided in each fluid conduit to control the flow of fluid therethrough.
4. A manipulation device according to claim 1 wherein a valve is  
provided in each fluid conduit to control the flow of fluid therethrough.
5. A manipulation device according to claim 1 wherein the  
25 manipulation device comprises a second surface opposed to the first surface and the plurality of selectively inflated chambers are provided on both the first and second surfaces.
6. A manipulation device according to claim 1 wherein the pod  
30 comprises first and second layers attached to one another in a manner to define the

selectively inflated chambers, the first layer being formed from elastomeric material.

5 7. A manipulation device according to claim 1 wherein the perimeter of the pod is substantially circular.

8. A manipulation device according to claim 1 and further comprising a pressure sensor fluidly connected to at least one of said plurality of fluid conduits.

10 9. A manipulation device according to claim 8 and further comprising a microprocessor electrically connected to the fluid supply source and the pressure sensor, the microprocessor being adapted to control the fluid supply source in response to the pressure level detected by the sensor in the fluid conduit.

15 10. A method of manipulating a body organ during a surgical procedure comprising the steps of:

providing a source of fluid;

forming an aperture in the body;

20 providing a manipulation device comprising a pod having a first surface and a plurality of selectively inflatable chambers provided on the first surface, a plurality of fluid conduits each having a proximal end and a distal end, the proximal end of each conduit being fluidly connected to the source of fluid and the distal end of each conduit being fluidly connected to at least one of the plurality of chambers, whereby the plurality of chambers are selectively inflatable with fluid

25 from the source of fluid by way of the plurality of fluid conduits;

inserting the pod in the body by way of the aperture;

positioning the pod inside the body adjacent to the organ to be manipulated;

selectively providing fluid under pressure from the source of fluid to at least one of the chambers to inflate said at least one chamber;

whereby the inflation of the chamber results in movement of the organ relative to the pod.

5

11. A method of manipulating an organ according to claim 10 wherein the aperture is formed pursuant to a minimally invasive surgical procedure and the pod is inserted through the aperture in a retracted state and then transformed to an expanded state after the pod is received inside the body cavity.

10

12. A method according to claim 11 and further comprising the step of providing a valve in at least one of said fluid conduits, the valve being adapted to control the flow of fluid between the source of fluid and the chambers.

15

13. A method of manipulating an organ according to claim 10 and further comprising the step of providing a pressure sensor in fluid communication with at least one of said plurality of fluid conduits and measuring the fluid pressure present within the at least one fluid conduit.

20

14. A method according to claim 13 and further comprising the steps of selectively venting fluid from said at least one fluid conduit or supplying fluid thereto from the source of fluid in response to fluid pressure as detected by the pressure sensor.

1/4

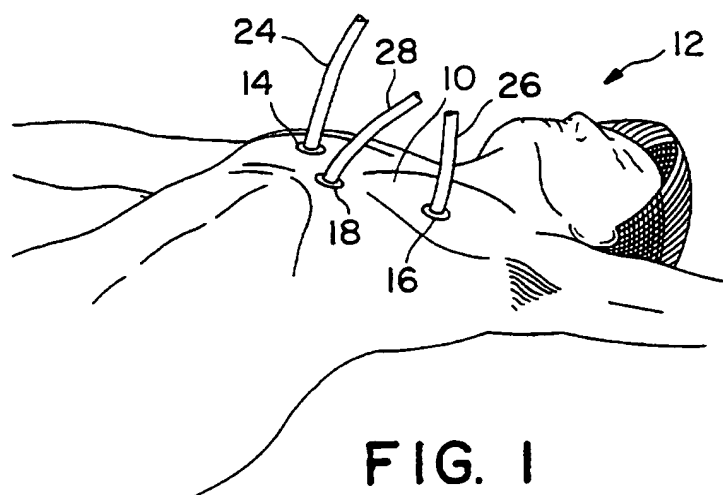


FIG. 1

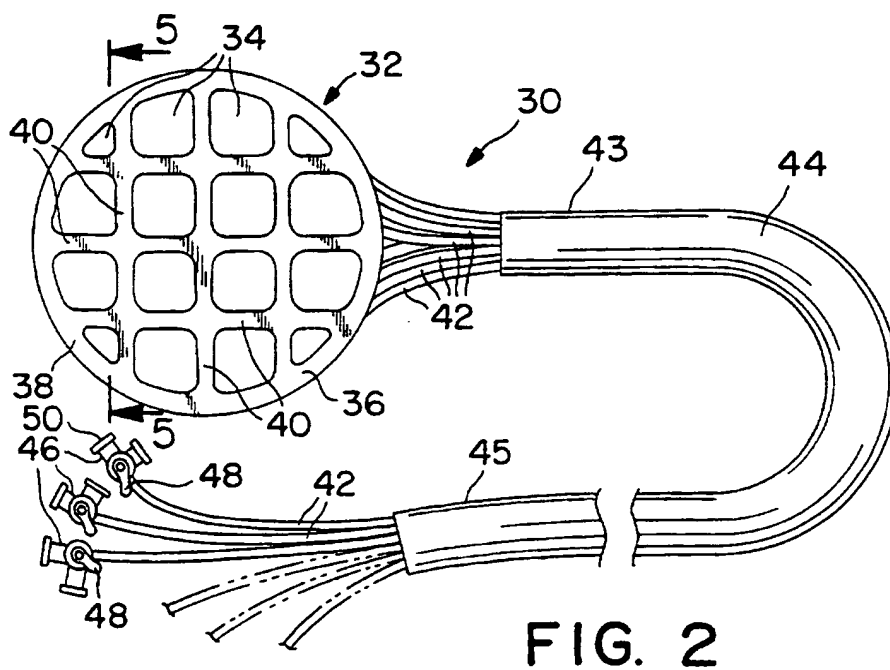


FIG. 2

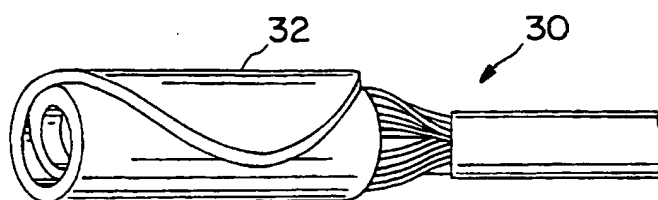


FIG. 3

2 / 4

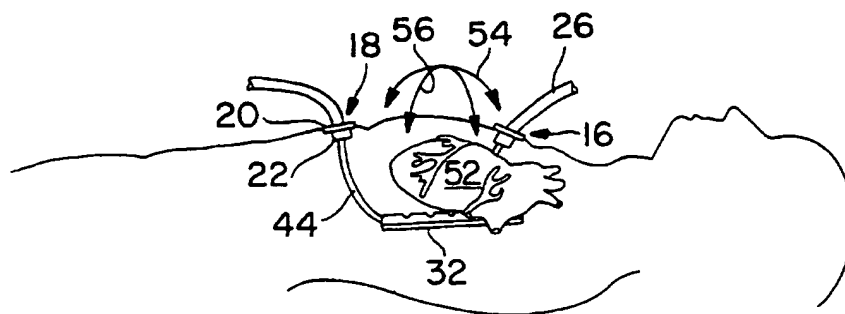


FIG. 4

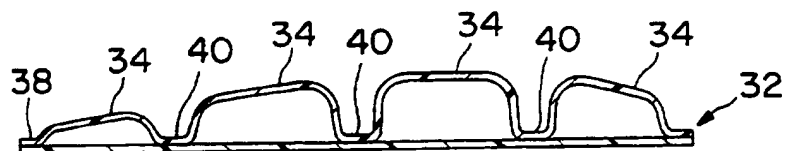


FIG. 5

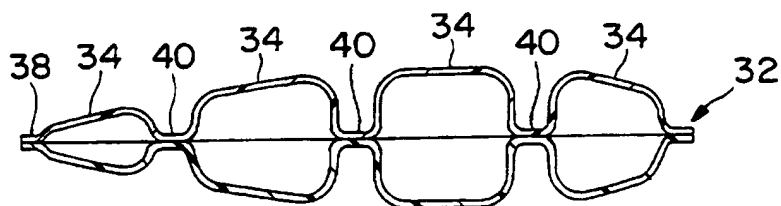


FIG. 6

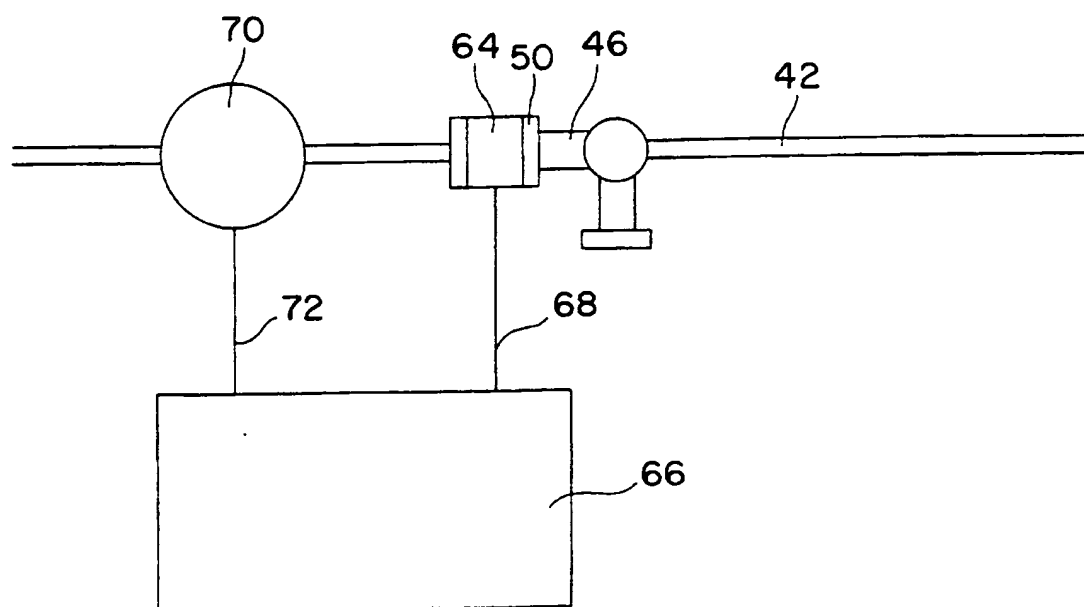


FIG. 7



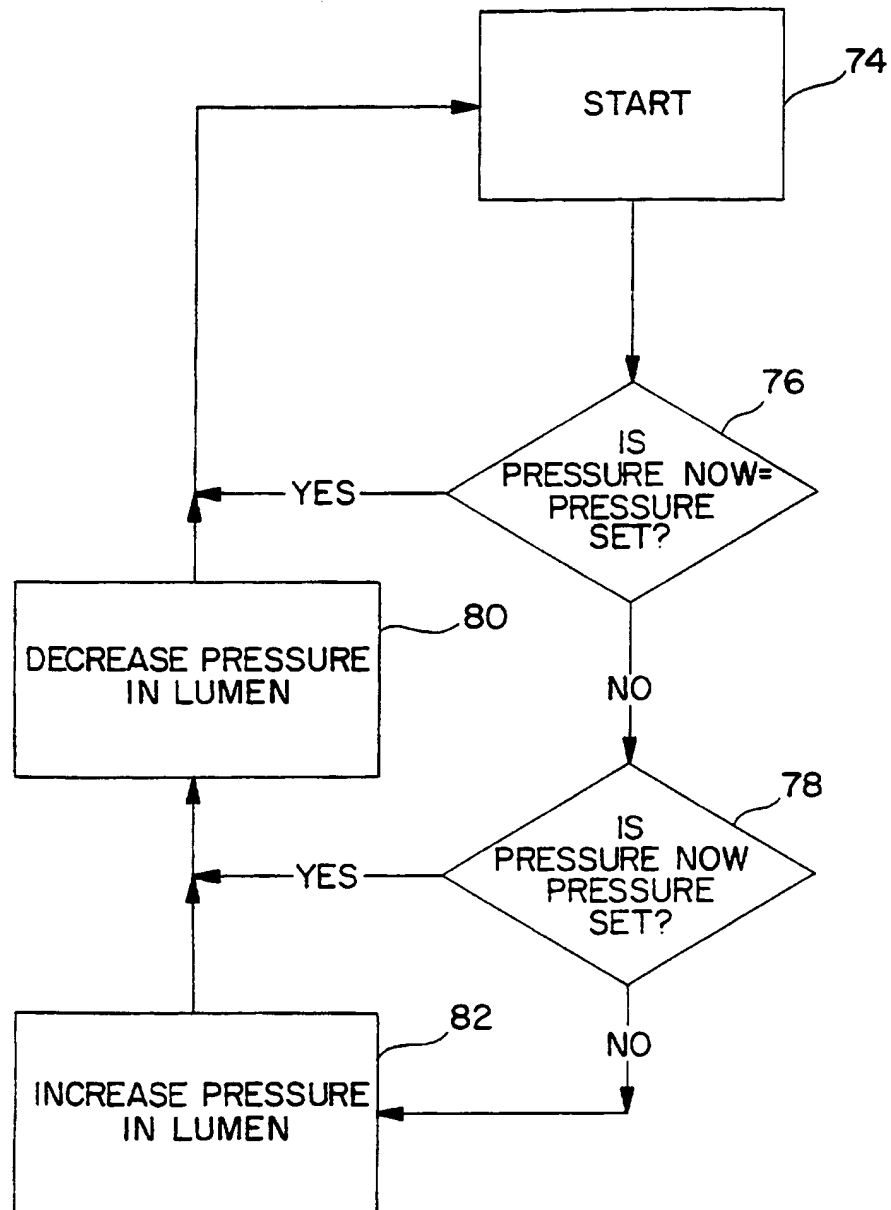


FIG. 8

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 97/01984

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 6 A61B17/02

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 6 A61B A61H

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US 5 211 162 A (GILLEN JR JOHN F ET AL) 18 May 1993 see column 2, line 20 - line 32 see column 4, line 20 - line 30 see column 5, line 60 - line 64 see column 6, line 7 - line 22 see figures 1-6 ---	1-9
X	DE 43 17 752 A (FRAUNHOFER GES FORSCHUNG) 1 December 1994 see column 1, line 43 - line 50 see column 3, line 18 - line 34 see column 4, line 34 - line 36 see column 4, line 53 - line 62 --- -/--	1-4,6,8, 9

☒ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- \*A\* document defining the general state of the art which is not considered to be of particular relevance
- \*E\* earlier document but published on or after the international filing date
- \*L\* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- \*O\* document referring to an oral disclosure, use, exhibition or other means
- \*P\* document published prior to the international filing date but later than the priority date claimed

\*T\* later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention

\*X\* document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone

\*Y\* document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.

\*&\* document member of the same patent family

Date of the actual completion of the international search

20 June 1997

Date of mailing of the international search report

11.07.97

Name and mailing address of the ISA

European Patent Office, P.B. 5818 Patentlaan 2  
NL - 2280 HV Rijswijk  
Tel. (+ 31-70) 340-2040, Tx. 31 651 epo nl,  
Fax (+ 31-70) 340-3016

Authorized officer

Chabus, H

# INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 97/01984

## C(Continuation) DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	US 5 425 357 A (MOLL FREDERIC H ET AL) 20 June 1995 see column 3, line 5-18 see column 3, line 46 - line 49 see column 4, line 13 - line 18 see figures 3-5 ---	1,6-8
A	EP 0 573 273 A (GEN SURGICAL INNOVATION INC) 8 December 1993 see column 4, line 54 - line 57 see column 6, line 54 - column 7, line 14 see figures 5,6,20-25 ---	1-7
A	WO 93 10850 A (ADVANCED SURGICAL INC) 10 June 1993 see page 1, line 4 - line 11 see page 21, line 7 - line 31 see figures 4B,5B,7A,8A -----	1,7

# INTERNATIONAL SEARCH REPORT

Int ional application No.

PCT/US 97/01984

## Box I Observations where certain claims were found unsearchable (Continuation of item 1 of first sheet)

This International Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons:

1. ☒ Claims Nos.: 10-14  
because they relate to subject matter not required to be searched by this Authority, namely:  
PCT Rule 39.1(iv) Method for treatm. of the human or animal body by surgery
2. ☐ Claims Nos.:  
because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically:
3. ☐ Claims Nos.:  
because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a).

## Box II Observations where unity of invention is lacking (Continuation of item 2 of first sheet)

This International Searching Authority found multiple inventions in this international application, as follows:

1. ☐ As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims.
2. ☐ As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee.
3. ☐ As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.:
4. ☐ No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.:

Remark on Protest

☐ The additional search fees were accompanied by the applicant's protest.

☐ No protest accompanied the payment of additional search fees.

# INTERNATIONAL SEARCH REPORT

information on patent family members

International Application No

PCT/US 97/01984

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5211162 A	18-05-93	CA 2073487 A,C	10-01-93
DE 4317752 A	01-12-94	NONE	
US 5425357 A	20-06-95	US 5309896 A	10-05-94
		US 5575759 A	19-11-96
		AT 142095 T	15-09-96
		AT 149312 T	15-03-97
		AU 656008 B	19-01-95
		AU 2145692 A	08-01-93
		AU 2176892 A	08-01-93
		AU 2185192 A	08-01-93
		AU 3136993 A	15-06-93
		AU 6198596 A	24-10-96
		CA 2109714 A	10-12-92
		CA 2109803 A	10-12-92
		CA 2110153 A	10-12-92
		CA 2123317 A	27-05-93
		DE 69213449 D	10-10-96
		DE 69213449 T	16-01-97
		DE 69217984 D	10-04-97
		DE 69217984 T	12-06-97
		EP 0586555 A	16-03-94
		EP 0586561 A	16-03-94
		EP 0637223 A	08-02-95
		EP 0613351 A	07-09-94
		ES 2091476 T	01-11-96
		ES 2098563 T	01-05-97
		JP 6507809 T	08-09-94
		JP 6507810 T	08-09-94
		JP 7501959 T	02-03-95
		WO 9221292 A	10-12-92
		WO 9221293 A	10-12-92
		WO 9221295 A	10-12-92
		WO 9309722 A	27-05-93
		US 5402772 A	04-04-95
		US 5465711 A	14-11-95
		US 5531856 A	02-07-96
		US 5454367 A	03-10-95
		US 5468248 A	21-11-95

# INTERNATIONAL SEARCH REPORT

Information on patent family members

Interr. .al Application No

PCT/US 97/01984

Patent document cited in search report	Publication date	Patent family member(s)	Publication date
US 5425357 A		US 5527264 A	18-06-96
		US 5522790 A	04-06-96
		US 5450843 A	19-09-95
		US 5632761 A	27-05-97
		US 5361752 A	08-11-94
		AT 142453 T	15-09-96
		AU 1999292 A	08-01-93
		AU 2157492 A	08-01-93
		AU 666855 B	29-02-96
		AU 2158792 A	08-01-93
		AU 5457396 A	08-08-96
		CA 2110152 A	10-12-92
		DE 69213734 D	17-10-96
		DE 69213734 T	23-01-97
-----			
EP 0573273 A	08-12-93	AU 661905 B	10-08-95
		CA 2097491 A	03-12-93
		JP 7275203 A	24-10-95
		PL 299163 A	10-01-94
		US 5607443 A	04-03-97
		US 5496345 A	05-03-96
		US 5540711 A	30-07-96
-----			
WO 9310850 A	10-06-93	US 5308327 A	03-05-94
		AU 3140993 A	28-06-93
		EP 0614387 A	14-09-94
		US 5337754 A	16-08-94
		US 5524633 A	11-06-96
-----			